



Practitioner's Docket No. U 014605-0

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: VLADIMIR NIKOLAEVICH PAK, et al.

Application No.: 09/885,645

Group No.:

Filed: June 20, 2001

Examiner:

For: Method for Treatment of Malignant Neoplasms and a Complex Preparation Having Antimalignant Activity

☐ Patent No.\*:

Issued:

*\*NOTE: Insert name(s) of all inventor(s) and title also for patent.*

**Commissioner for Patents**  
**P. O. Box 1450**  
**Alexandria, VA 22313-1450**

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**JUN 13 2003**

**TECH CENTER 1600/2900**

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST  
(REVOCATION OF PRIOR POWERS)**

*NOTE: Submission of a Power of attorney after issuance of the Notice of Allowance in an application does not result in a reduction in patent term adjustment under 37 C.F.R. § 1.704(c)(10). See Notice of May 29, 2001, 1247 OG 111-112, June 26, 2001.*

As assignee of record of the entire interest of the above identified

☒ application,

☐ patent,

**REVOCATION OF PRIOR POWERS OF ATTORNEY**

all powers of attorney previously given are hereby revoked and

**NEW POWER OF ATTORNEY**

the following attorney(s) and/or agent(s) are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith.

JOSEPH H. HANDELMAN, 26179

JULIAN H. COHEN, 20302

JOHN RICHARDS, 31053

WILLIAM R. EVANS 25858

RICHARD J. STREIT, 25765

JANET I. CORD, 33778

PETER D. GALLOWAY, 27885

CLIFFORD J. MASS, 30086

RICHARD P. BERG, 28145

CYNTHIA R. MILLER, 34678

SEND CORRESPONDENCE TO:

Ladas & Parry  
26 West 61<sup>st</sup> Street  
New York, N.Y. 10023

DIRECT TELEPHONE CALLS TO:

(Name and telephone number)

(212) 708-1935

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Optional Customer No. Bar Code

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00140

PATENT TRADEMARK OFFICE

TECH CENTER 1600/2900

CURONIA RESEARCH OU

(type or print identity of assignee of entire interest)

17 SAKALA STREET

Address

10141 TALLINN, ESTONIA

- ☒ Recorded in PTO on September 21, 2001  
Reel 012192  
Frame 0562
- ☐ Recorded herewith

ASSIGNEE STATEMENT

Attached to this power is a "STATEMENT UNDER 37 C.F.R. section 3.73(b)."

Date: (X) 29.05.2003

(X)

Signature

(X)

ARDO REINSALU

(type or print name of person authorized to sign on behalf of assignee)

(X)

C.E.O.

Title

NOTE: The assignee of the entire interest may revoke previous powers and be represented by an attorney of his or her selection. 37 C.F.R. 1.36.

(check the following item, if it forms a part of this power of attorney)

- ☐ Added page—Authorization of attorney(s) to accept and follow instructions from representative.

**ADDED PAGE TO POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE  
INTEREST FOR AUTHORIZATION OF ATTORNEY(S) TO ACCEPT AND  
FOLLOW INSTRUCTIONS FROM REPRESENTATIVE**

The undersigned to this power of attorney hereby authorize(s) the U.S. attorney(s) named herein to accept and follow instructions from

\_\_\_\_\_  
Name(s) of authorized representative(s)

\_\_\_\_\_  
Address  
  
\_\_\_\_\_

as to any actions to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney(s) and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorney(s) will so be notified by the undersigned.



Practitioner's Docket No. U 014605-0

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: VLADIMIR NIKOLAEVICH PAK, et al.

Application No.: 09/885,645

Filed: June 20, 2001

For: Method for Treatment of Malignant Neoplasms and a Complex Preparation Having Antimalignant Activity

Patent\*: \_\_\_\_\_

Reexamination No.: \_\_\_\_\_

Reissue: \_\_\_\_\_

Group No.: \_\_\_\_\_

Examiner: \_\_\_\_\_

Issue Date: \_\_\_\_\_

Issue Date: \_\_\_\_\_

Issue Date: \_\_\_\_\_

\*NOTE: Insert name(s) of inventor(s) and title for patent.

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Assistant Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

JUN 13 2003

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STATEMENT UNDER 37 C.F.R. § 3.73(b)  
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION

NOTE: 37 CFR 3.73(b) states: "When an assignee seeks to take action in a matter before the Office with respect to a patent application, ..., patent, registration, or reexamination proceeding, the assignee must establish its ownership of the property to the satisfaction of the Commissioner. Ownership is established by submitting to the Office, in the Office file related to the matter in which action is sought to be taken, documentary evidence of a chain of title from the original owner to the assignee (e.g., copy of an executed assignment submitted for recording) or by specifying (e.g., reel and frame number) where such evidence is recorded in the Office. The submission establishing ownership must be signed by a party authorized to act on behalf of the assignee. Documents submitted to establish ownership may be required to be recorded as a condition to permitting the assignee to take action in a matter pending before the Office."

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is **mandatory**;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

37 C.F.R. 1.8(a)

37 C.F.R. 1.10\*

- ☒ with sufficient postage as first class mail. ☐ as "Express Mail Post Office to Address"  
Mailing Label No. \_\_\_\_\_ (mandatory)

TRANSMISSION

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Date: June 9, 2003

Signature

Janet I. Cord

(type or print name of person certifying)

\*WARNING: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).  
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

NOTE: "Section 3.73(b) is amended to remove the sentence requiring an assignee to specifically state that the evidentiary documents have been reviewed and to certify that title is in the assignee seeking to take action. The sentence is deemed to be unnecessary in view of the amendment to §§ 1.4(d) and 10.18." Notice of Oct. 10, 1997, 62 Fed. Reg. 53,131, at 53,174.

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

## IDENTIFICATION OF ASSIGNEE

2. CURONIA RESEARCH OU

Name of assignee

Type of assignee, e.g., corporation, partnership, university, government agency, etc.

NOTE: The Notice of April 30, 1993 (1150 O.G. 62-64) points out:

*"The statement under 37 CFR 3.73(b) may be signed on behalf of the assignee in the following two manners if the assignee is an organization (e.g., corporation, partnership, university, government agency, etc.).*

*"(1) The statement may be signed by a person in the organization having apparent authority to sign on behalf of the organization. An officer (president, vice-president, secretary, or treasurer) is presumed to have authority to sign on behalf of the organization. The signature of the chairman of the board of directors is acceptable, but not the signature of an individual director. A person having a title (manager, director, administrator, general counsel) that does not clearly set forth that person as an officer of the assignee is not presumed to be an officer of the assignee or to have authority to sign the statement on behalf of the assignee. A power of attorney from the inventors in an organization to a practitioner to prosecute a patent application does not make the practitioner an official of an assignee or empower the practitioner to sign the statement on behalf of the assignee.*

*"(2) The statement may be signed by any person, if the statement includes an averment that the person is empowered to sign the statement on behalf of the assignee and, if not signed by a registered practitioner, the statement must be in oath or declaration form. Where a statement does not include such an averment, and the person signing does not hold a position in the organization that would give rise to a presumption that the person is empowered to sign the statement on behalf of the assignee, evidence of the person's authority to sign will be required."*

(complete the following, if applicable)

- ☐ I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

## BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

A.

1. ☒ An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at  
Reel 012192 Frame 0562

2. ☐ An assignment (document) separately being submitted for recordal herewith.

AND/OR

- B. ☐ A chain of title from the inventor(s) to the current assignee as shown below:

1. From: \_\_\_\_\_  
Name of inventor(s)  
To: \_\_\_\_\_  
Recorded in PTO: Reel \_\_\_\_\_, Frame \_\_\_\_\_
2. From: \_\_\_\_\_  
Name of inventor(s) or assignee  
To: \_\_\_\_\_  
Recorded in PTO: Reel \_\_\_\_\_, Frame \_\_\_\_\_
3. From: \_\_\_\_\_  
Name of inventor(s) or assignee  
To: \_\_\_\_\_  
Recorded in PTO: Reel \_\_\_\_\_, Frame \_\_\_\_\_

*(check item below, and add details, if applicable)*

- ☐ Additional documents in the chain of title are listed in the attached Supplemental Sheet.

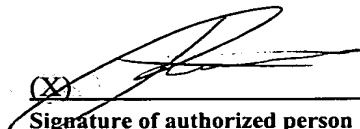
**COPIES OF DOCUMENTS IN CHAIN OF TITLE**

*(complete this item, if copies are being sent)*

- ☒ Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

<input checked="" type="checkbox"/>	A	<input checked="" type="checkbox"/>	1	<input type="checkbox"/>	2	
<input type="checkbox"/>	B	<input type="checkbox"/>	1	<input type="checkbox"/>	2	<input type="checkbox"/> 3

(X) 29.05.2003  
date

(X)   
Signature of authorized person  
(X) ARDO REINSALU  
(type or print name of authorized person)  
(X) C.E.O.  
Title of authorized person

Reg. No.: 33,778

Tel. No.: (212) 708-1935

Customer No.: 00140

---

SIGNATURE OF PRACTITIONER

Janet I. Cord

*(type or print name of practitioner)*

---

P.O. Address

---

c/o Ladas & Parry  
26 West 61<sup>st</sup> Street  
New York, N.Y. 10023



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

NOVEMBER 29, 2001

JENKENS & GILCHRIST, P.C.  
STANLEY R. MOORE, ESQ.  
1445 ROSS AVENUE, SUITE 3200  
DALLAS, TX 75202-2799



Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov



\*101857599A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/21/2001

REEL/FRAME: 012192/0562  
NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PAK, VLADIMIR NIKOLAEVICH

DOC DATE: 06/22/2001

ASSIGNOR:

PAK, NATALIA ANATOLIEVNA

DOC DATE: 06/22/2001

ASSIGNOR:

RESHETNIKOV, SERGEI STEPANOVICH

DOC DATE: 06/22/2001

ASSIGNOR:

NIKONOV, SERGEI DANILOVICH

DOC DATE: 06/22/2001

ASSIGNOR:

OGIRENKO, ANATOLI PAVLOVICH

DOC DATE: 06/22/2001

ASSIGNEE:

CURONIA RESEARCH OU  
17 SAKALA STR.  
10141 TALLINN, ESTONIA

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JUN 13 2003

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012192/0562 PAGE 2

SERIAL NUMBER: 09885645  
PATENT NUMBER:

FILING DATE: 06/20/2001  
ISSUE DATE:

TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

# ASSIGNMENT

WHEREAS, We, Vladimir Nikolaevich PAK, Natalia Anatolievna PAK, Sergei Stepanovich RESHETNIKOV, Sergei Danilovich NIKONOV, and Anatoli Pavlovich OGIRENKO, have invented certain new and useful improvements in the following **"METHOD FOR TREATMENT OF MALIGNANT NEOPLASMS AND A COMPLEX PREPARATION HAVING ANTIMALIGNANT ACTIVITY"** for which we have made application for United States Letters Patent, and;

WHEREAS, CURONIA RESEARCH OÜ, a corporation organized and existing under the laws of the Republic of Estonia, having its principal place of business at 17 Sakala Str., 10141 Tallinn, ESTONIA, (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring our entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives my entire right, title and interest in and to said invention and in and to said applications and all patents which may be granted therefore, and all divisions, reissues, substitutions, continuations, continuation-in-part and extensions thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as my interest is concerned, to the said ASSIGNEE of our entire right, title and interest.

We also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said applications throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and

We further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

We hereby further agree that we will communicate to said ASSIGNEE, or its successors, assigns and legal representatives, any facts known to me respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

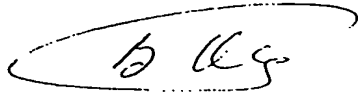
We further authorize and direct our attorneys to insert below\* the serial number and filing date of said as soon as the same shall have been made known to them by the United States Patent Office.

\*Serial Number: 09/885,645

\*Filing Date: June 20, 2001

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 22 day of 06,

2001.

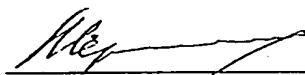


Name: Vladimir Nikolaevich PAK

Address: Koltsovo 27-3

Novosibirsk region 630559 RUSSIAN FEDERATION

On the 22 day of 06, 2001 before us personally appeared Vladimir Nikolaevich PAK, to us known and known to us to be the individual named in and who executed the foregoing instrument. and acknowledged that he executed the same.



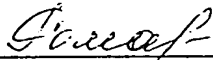
WITNESS (1) SIGNATURE

Vladimir Iurievich  
MERITS

PRINTED NAME

Ulitsa Zorge 66-146 Novosibirsk  
630106 RUSSIAN FEDERATION

ADDRESS



WITNESS (2) SIGNATURE

Elena Mikhailovna  
ROMANOVA

PRINTED NAME

Ulitsa Zorge 66-146 Novosibirsk  
630106 RUSSIAN FEDERATION

ADDRESS

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 22 day of 06,  
2001.

fas  
Name: Natalia Anatolievna PAK  
Address: Koltsovo 27-3  
Novosibirsk region 630559 RUSSIAN FEDERATION

On the 22 day of 06, 2001 before us personally appeared Natalia Anatolievna PAK, to us known and known to us to be the individual named in and who executed the foregoing instrument, and acknowledged that he executed the same.

<u>Merits</u>	Vladimir Iurievich MERITS	Ulitsa Zorge 66-146 Novosibirsk 630106 RUSSIAN FEDERATION
WITNESS (1) SIGNATURE	PRINTED NAME	ADDRESS
<u>B.veaf</u>	Elena Mikhailovna ROMANOVA	Ulitsa Zorge 66-146 Novosibirsk 630106 RUSSIAN FEDERATION
WITNESS (2) SIGNATURE	PRINTED NAME	ADDRESS

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 22 day of 06,  
2001.

*Per [Signature]*

Name: Sergei Stepanovich RESHETNIKOV

Address: Koltsovo 7A-19

Novosibirsk region 630559 RUSSIAN FEDERATION

On the 22 day of 06, 2001 before us personally appeared Sergei Stepanovich RESHETNIKOV, to us known and known to us to be the individual named in and who executed the foregoing instrument, and acknowledged that he executed the same.

*[Signature]*

WITNESS (1) SIGNATURE

Vladimir Iurievich  
MERITS

PRINTED NAME

Ulitsa Zorge 66-146 Novosibirsk  
630106 RUSSIAN FEDERATION

ADDRESS

*[Signature]*

WITNESS (2) SIGNATURE


Elena Mikhailovna  
ROMANOVA

PRINTED NAME


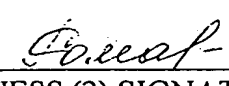
Ulitsa Zorge 66-146 Novosibirsk  
630106 RUSSIAN FEDERATION

ADDRESS

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 22 day of 06,  
2001.

  
\_\_\_\_\_  
Name: Sergei Danilovich NIKONOV  
Address: Ulisa Gogolja 190/1-3  
Novosibirsk 630112 RUSSIAN FEDERATION

On the 22 day of 06, 2001 before us personally appeared Sergei Danilovich NIKONOV, to us known and known to us to be the individual named in and who executed the foregoing instrument, and acknowledged that he executed the same.

	Vladimir Iurievich MERITS	Ulitsa Zorge 66-146 Novosibirsk 630106 RUSSIAN FEDERATION
WITNESS (1) SIGNATURE	PRINTED NAME	ADDRESS
	Elena Mikhailovna ROMANOVA	Ulitsa Zorge 66-146 Novosibirsk 630106 RUSSIAN FEDERATION
WITNESS (2) SIGNATURE	PRINTED NAME	ADDRESS


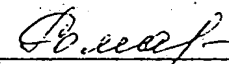
IN WITNESS WHEREOF, I have hereunto set my hand and seal this 22 day of 06,  
2001.



Name: Anatoli Pavlovich OGIRENKO

Address: Vokzalnaja magistral 4/1-58  
Novosibirsk 630004 RUSSIAN FEDERATION

On the 22 day of 06, 2001 before us personally appeared Anatoli Pavlovich OGIRENKO, to us known and known to us to be the individual named in and who executed the foregoing instrument, and acknowledged that he executed the same.

	Vladimir Iurievich MERITS	Ulitsa Zorge 66-146 Novosibirsk 630106 RUSSIAN FEDERATION
WITNESS (1) SIGNATURE	PRINTED NAME	ADDRESS
	Elena Mikhailovna ROMANOVA	Ulitsa Zorge 66-146 Novosibirsk 630106 RUSSIAN FEDERATION
WITNESS (2) SIGNATURE	PRINTED NAME	ADDRESS